

FORM PTO-1390 (REV 10-2004)		ATTORNEY DOCKET NUMBER 4424-4000US1
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.51) <b>10/542185</b>
INTERNATIONAL APPLICATION PCT/US2004/001472	INTERNATIONAL FILING DATE 15 January 2004	PRIORITY DATE CLAIMED 16 January 2003
TITLE OF INVENTION <b>NANOPARTICLE BASED STABILIZATION OF IR FLUORESCENT DYES</b>		
APPLICANT(S) FOR DO/EO/US <b>SADOQI, Mostafa; SHAO, Jun; SAXENA, Vishal; and KUMAR, Sanil</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4. <input checked="" type="checkbox"/> The US has been elected. (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).  b. <input type="checkbox"/> has been communicated by the International Bureau.  c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto  b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).  b. <input type="checkbox"/> have been communicated by the International Bureau.  c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.  d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 20. below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or Information: Published Application with International Search Report; Written Opinion of International Searching Authority and Return Receipt Postcard.</p>		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>TBA 10/542185</b>		INTERNATIONAL APPLICATION NO. PCT/US2004/001472		ATTORNEY'S DOCKET NO. 4424-4000US1																									
				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>																								
<p>The following fees have been submitted</p> <table> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee .....</td> <td>\$300</td> <td>\$300.00</td> <td></td> </tr> <tr> <td>22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....</td> <td>\$100</td> <td></td> <td></td> </tr> <tr> <td>All other situations.....</td> <td>\$200</td> <td>\$200.00</td> <td></td> </tr> <tr> <td>23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....</td> <td>\$100</td> <td></td> <td></td> </tr> <tr> <td>International Search Report prepared and provided to the Office.....</td> <td>\$400</td> <td></td> <td></td> </tr> <tr> <td>All other situations.....</td> <td>\$500</td> <td>\$400.00</td> <td></td> </tr> </table>						21. <input checked="" type="checkbox"/> Basic national fee .....	\$300	\$300.00		22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....	\$100			All other situations.....	\$200	\$200.00		23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....	\$100			International Search Report prepared and provided to the Office.....	\$400			All other situations.....	\$500	\$400.00	
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All other situations.....	\$500	\$400.00																											
<b>TOTAL OF 21, 22 and 23 =</b>				\$900.00																									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																													
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																										
30 - 100 =	0/50 =		x \$250.00	\$0.00																									
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).																													
<table> <tr> <td>CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> <td colspan="2">\$</td> </tr> <tr> <td>Total claims</td> <td>22 - 20 =</td> <td>2</td> <td>x \$50.00</td> <td colspan="2">\$ 100.00</td> </tr> <tr> <td>Independent claims</td> <td>6 - 3 =</td> <td>3</td> <td>x \$200.00</td> <td colspan="2">\$ 200.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360.00</td> <td colspan="2">\$ 360.00</td> </tr> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	22 - 20 =	2	x \$50.00	\$ 100.00		Independent claims	6 - 3 =	3	x \$200.00	\$ 200.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 360.00	
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 360.00																									
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1560.00																									
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ .																													
<b>SUBTOTAL =</b> Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).																													
<b>TOTAL NATIONAL FEE =</b> \$ 780.00																													
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +																													
<b>TOTAL FEES ENCLOSED =</b> \$ 780.00																													
<table> <tr> <td></td> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td></td> <td>Amount to be charged:</td> <td>\$ 780.00</td> </tr> </table>							Amount to be refunded:	\$		Amount to be charged:	\$ 780.00																		
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a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-4500 (4424-4000US1)</u> in the amount of \$780.00 to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500 (4424-4000US1)</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																													
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																													
SEND ALL CORRESPONDENCE TO: 27123 Enter Address Here Sybil A. Lombillo, Esq. MORGAN & FINNEGANT LLP 3 World Financial Center New York, New York 10281-2101 212 415 8700 Telephone 212 415 8701 Fax																													
 Signature <u>Sybil A. Lombillo</u> Name																													
<u>54,280</u> REGISTRATION NUMBER																													

**10/542185**

**JC20 Rec'd PCT/PTO 13 JUL 2005**

Docket No. #424-4000US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): **SADOQI, Mostafa; SHAO, Jun; SAXENA, Vishal; and KUMAR, Sanil**

Group Art Unit: **Not Yet Assigned**

Serial No.: **Not Yet Assigned**

Examiner: **Not Yet Assigned**

Filed: **Herewith**

For: **NANOPARTICLE BASED STABILIZATION OF IR FLUORESCENT DYES**

**EXPRESS MAIL CERTIFICATE**

Mail Stop Box PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: **EV 623606877 US**

Date of Deposit: **July 13, 2005**

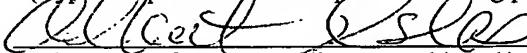
I hereby certify that the following attached paper(s) and/or fee:

1. Transmittal letter to the US Designated Elected Office Concerning Filing Under 35 USC 371;
2. International Search Report;
3. Information Disclosure Statement;
4. PTO Form 1449;
5. Published International Application with International Search Report;
6. Written Opinion of the International Searching Authority; and
7. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Type or printed name of person mailing paper(s) and/or fee)



(Signature of person mailing paper(s) and/or fee)

**Correspondence Address:**

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